



## New Weyl-type Theorems - I

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**Abstract.** In this paper we introduce the new spectral properties  $(W_E)$  and  $(UW_{E_a})$ . An operator  $T$  satisfies property  $(W_E)$  (resp.  $(UW_{E_a})$ ), if its spectrum (resp. its approximate spectrum) is the disjoint union of its Weyl spectrum and its isolated eigenvalues (resp. of its upper semi-Weyl spectrum and its isolated eigenvalues in its approximate spectrum). The main purpose of the paper is to study relationship between the properties  $(W_E)$ ,  $(UW_{E_a})$  and other Weyl-type theorems.

### 1. Introduction

Let  $X$  be a Banach space, and let  $L(X)$  be the Banach algebra of all bounded linear operators acting on  $X$ . For  $T \in L(X)$ , we will denote by  $N(T)$  the null space of  $T$ , by  $\alpha(T)$  the nullity of  $T$ , by  $R(T)$  the range of  $T$ , by  $\beta(T)$  its defect and by  $T^*$  the adjoint of  $T$ . We will denote also by  $\sigma(T)$  the spectrum of  $T$  and by  $\sigma_a(T)$  the approximate point spectrum of  $T$ . If the range  $R(T)$  of  $T$  is closed and  $\alpha(T) < \infty$  (resp.  $\beta(T) < \infty$ ), then  $T$  is called an upper semi-Fredholm (resp. a lower semi-Fredholm) operator. If  $T \in L(X)$  is either upper or lower semi-Fredholm, then  $T$  is called a semi-Fredholm operator, and the index of  $T$  is defined by  $\text{ind}(T) = \alpha(T) - \beta(T)$ . If both  $\alpha(T)$  and  $\beta(T)$  are finite, then  $T$  is called a Fredholm operator. An operator  $T \in L(X)$  is called a Weyl operator if it is a Fredholm operator of index zero. The Weyl spectrum  $\sigma_W(T)$  of  $T$  is defined by  $\sigma_W(T) = \{\lambda \in \mathbb{C} \mid T - \lambda I \text{ is not a Weyl operator}\}$ .

For a bounded linear operator  $T$  and a nonnegative integer  $n$ , define  $T_{[n]}$  to be the restriction of  $T$  to  $R(T^n)$ , viewed as a map from  $R(T^n)$  into  $R(T^n)$  (in particular  $T_{[0]} = T$ ). If for some integer  $n$  the range space  $R(T^n)$  is closed and  $T_{[n]}$  is an upper (resp. a lower) semi-Fredholm operator, then  $T$  is called an upper (resp. a lower) semi-B-Fredholm operator. A semi-B-Fredholm operator  $T$  is an upper or a lower semi-B-Fredholm operator, and in this case the index of  $T$  is defined as the index of the semi-Fredholm operator  $T_{[n]}$ , see [11]. Moreover if  $T_{[n]}$  is a Fredholm operator, then  $T$  is called a B-Fredholm operator, see [2]. An operator  $T \in L(X)$  is said to be a B-Weyl operator [4], if it is a B-Fredholm operator of index zero. The B-Weyl spectrum  $\sigma_{BW}(T)$  of  $T$  is defined by  $\sigma_{BW}(T) = \{\lambda \in \mathbb{C} \mid T - \lambda I \text{ is not a B-Weyl operator}\}$ .

The ascent  $a(T)$  of an operator  $T$  is defined by  $a(T) = \inf\{n \in \mathbb{N} : N(T^n) = N(T^{n+1})\}$ , and the descent  $\delta(T)$  of  $T$ , is defined by  $\delta(T) = \inf\{n \in \mathbb{N} : R(T^n) = R(T^{n+1})\}$ , with  $\inf \emptyset = \infty$ .

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According to [13], a complex number  $\lambda$  is a pole of the resolvent of  $T$  if and only if  $0 < \max(a(T - \lambda I), \delta(T - \lambda I)) < \infty$ . Moreover, if this is true, then  $a(T - \lambda I) = \delta(T - \lambda I)$ . An operator  $T$  is called Drazin invertible if 0 is a pole of  $T$ . The Drazin spectrum  $\sigma_D(T)$  of  $T$  is defined by  $\sigma_D(T) = \{\lambda \in \mathbb{C} : T - \lambda I \text{ is not Drazin invertible}\}$ .

Define also the set  $LD(X)$  by  $LD(X) = \{T \in L(X) : a(T) < \infty \text{ and } R(T^{a(T)+1}) \text{ is closed}\}$  and  $\sigma_{LD}(T) = \{\lambda \in \mathbb{C} : T - \lambda I \notin LD(X)\}$ . Following [10], an operator  $T \in L(X)$  is said to be left Drazin invertible if  $T \in LD(X)$ . We say that  $\lambda \in \sigma_a(T)$  is a left pole of  $T$  if  $T - \lambda I \in LD(X)$ , and that  $\lambda \in \sigma_a(T)$  is a left pole of  $T$  of finite rank if  $\lambda$  is a left pole of  $T$  and  $\alpha(T - \lambda I) < \infty$ .

Let  $SF_+(X)$  be the class of all upper semi-Fredholm operators and  $SF_+^-(X) = \{T \in SF_+(X) : \text{ind}(T) \leq 0\}$ . The upper semi-Weyl spectrum  $\sigma_{SF_+}(T)$  of  $T$  is defined by  $\sigma_{SF_+}(T) = \{\lambda \in \mathbb{C} : T - \lambda I \notin SF_+(X)\}$ . Similarly is defined the upper semi-B-Weyl spectrum  $\sigma_{SBF_+}(T)$  of  $T$ .

An operator  $T \in L(X)$  is called upper semi-Browder if it is upper semi-Fredholm operator of finite ascent, and is called Browder if it is a Fredholm of finite ascent and descent. The upper semi-Browder spectrum  $\sigma_{ub}(T)$  of  $T$  is defined by  $\sigma_{ub}(T) = \{\lambda \in \mathbb{C} : T - \lambda I \text{ is not upper semi-Browder}\}$ , and the Browder spectrum  $\sigma_b(T)$  of  $T$  is defined by  $\sigma_b(T) = \{\lambda \in \mathbb{C} : T - \lambda I \text{ is not Browder}\}$ .

An operator  $T \in L(X)$  is said to have the single valued extension property at  $\lambda_0 \in \mathbb{C}$  (abbreviated SVEP at  $\lambda_0$ ), if for every open neighborhood  $\mathcal{U}$  of  $\lambda_0$ , the only analytic function  $f : \mathcal{U} \rightarrow X$  which satisfies the equation  $(T - \lambda I)f(\lambda) = 0$  for all  $\lambda \in \mathcal{U}$  is the function  $f \equiv 0$ . An operator  $T \in L(X)$  is said to have the SVEP if  $T$  has this property at every  $\lambda \in \mathbb{C}$ . (See [15] for more details about this concept).

Below, we recall the list of all symbols and notations we will use:

- $E(T)$  : eigenvalues of  $T$  that are isolated in the spectrum  $\sigma(T)$  of  $T$ ,
- $E^0(T)$  : eigenvalues of  $T$  of finite multiplicity that are isolated in the spectrum  $\sigma(T)$  of  $T$ ,
- $E_a(T)$  : eigenvalues of  $T$  that are isolated in the approximate point spectrum  $\sigma_a(T)$  of  $T$ ,
- $E_a^0(T)$  : eigenvalues of  $T$  of finite multiplicity that are isolated in the spectrum  $\sigma_a(T)$  of  $T$ ,
- $\Pi(T)$  : poles of  $T$ ,
- $\Pi^0(T)$  : poles of  $T$  of finite rank,
- $\Pi_a(T)$  : left poles of  $T$ ,
- $\Pi_a^0(T)$  : left poles of  $T$  of finite rank,
- $\sigma_b(T)$  : Browder spectrum of  $T$ ,
- $\sigma_D(T)$  : Drazin spectrum of  $T$ ,
- $\sigma_{ub}(T)$  : upper semi-Browder spectrum of  $T$ ,
- $\sigma_{BW}(T)$  : B-Weyl spectrum of  $T$ ,
- $\sigma_W(T)$  : Weyl spectrum of  $T$ ,
- $\sigma_{SF_+}(T)$  : upper semi-Weyl spectrum of  $T$ ,
- $\sigma_{SBF_+}(T)$  : upper semi-B-Weyl spectrum of  $T$ ,
- $\Delta_a(T) = \sigma_a(T) \setminus \sigma_{SF_+}(T)$ ,
- $\Delta_a^g(T) = \sigma_a(T) \setminus \sigma_{SBF_+}(T)$ ,
- $\Delta(T) = \sigma(T) \setminus \sigma_W(T)$ ,
- $\Delta^g(T) = \sigma(T) \setminus \sigma_{BW}(T)$ .
- $\sigma(T) \setminus \sigma_W(T) = \Pi^0(T)$  : Browder's theorem holds for  $T$ ,
- $\sigma(T) \setminus \sigma_W(T) = E^0(T)$  : Weyl's theorem holds for  $T$ ,
- $\sigma(T) \setminus \sigma_{BW}(T) = \Pi(T)$  : generalized Browder's theorem holds for  $T$ ,
- $\sigma(T) \setminus \sigma_{BW}(T) = E(T)$  : generalized Weyl's theorem holds for  $T$ ,
- $\sigma_a(T) \setminus \sigma_{SF_+}(T) = \Pi_a^0(T)$  : a-Browder's theorem holds for  $T$ ,
- $\sigma_a(T) \setminus \sigma_{SF_+}(T) = E_a^0(T)$  : a-Weyl's theorem holds for  $T$ ,
- $\sigma_a(T) \setminus \sigma_{SBF_+}(T) = \Pi_a(T)$  : generalized a-Browder's theorem holds for  $T$ ,
- $\sigma_a(T) \setminus \sigma_{SBF_+}(T) = E_a(T)$  : generalized a-Weyl's theorem holds for  $T$ .

The paper is organized as follows. In the second section, we introduce the property  $(W_E)$ . Then we prove that  $T \in L(X)$  satisfies property  $(W_E)$  if and only if  $T$  satisfies generalized Weyl's theorem and  $\sigma_{BW}(T) = \sigma_W(T)$ . We also give conditions for the equivalence of property  $(W_E)$  and the property  $(Bw)$  introduced in [14]. In the case of isoloid operators, we study the preservation of property  $(W_E)$  under functional calculus (resp.

under finite rank commuting perturbations).

In the third section, and in a similar way to the second, we introduce and study the property  $(UW_{E_a})$ . We prove that if  $T$  satisfies property  $(UW_{E_a})$ , then  $T$  satisfies generalized a-Weyl's theorem and satisfies also property  $(W_E)$ . Moreover, we prove under the hypothesis  $\sigma_{SF_+}(T) = \sigma_W(T)$ , that  $T$  satisfies property  $(UW_{E_a})$  if and only if  $T$  satisfies property  $(W_E)$  and  $E(T) = E_a(T)$ . Preservation of the property  $(UW_{E_a})$  under functional calculus or finite rank commuting perturbation is also considered.

Our motivation in studying such properties is the analysis of the structure of the spectrum of a bounded linear operator acting on a Banach space  $X$ . An operator satisfying a Weyl-type property has a well-given partition of its spectrum as disjoint union of two of its distinguished parts. The original idea leading to a partition of the spectrum goes back to the famous paper by H. Weyl [17]. More recently, several authors had worked in this direction, see for example [1] and [16].

Hereafter, the symbol  $\sqcup$  stands for disjoint union, while  $iso(A), acc(A)$  means respectively isolated points and accumulation points of a given subset  $A$  of  $\mathbb{C}$ .

This paper will be followed by a second one, in which we will consider a "Browder-type" version of the results obtained.

## 2. Property $(W_E)$

**Definition 2.1.** A Bounded linear operator  $T \in L(X)$  is said to satisfy property  $(W_E)$ , if its spectrum is the disjoint union of its Weyl spectrum and its isolated eigenvalues, that is  $\sigma(T) = \sigma_W(T) \sqcup E(T)$ .

**Example 2.2.** Recall that the Volterra operator  $V$  on  $L^2([0, 1])$  is defined by  $V(f)(x) = \int_0^x f(t)dt$ , for  $f \in L^2([0, 1])$ . It is well known that  $\sigma(V) = \{0\}$ ,  $\sigma_W(V) = \{0\}$ ,  $E(V) = \emptyset$ . Hence property  $(W_E)$  is satisfied by  $V$ .

**Theorem 2.3.** Let  $T \in L(X)$ . Then  $T$  satisfies property  $(W_E)$  if and only if  $T$  satisfies generalized Weyl's theorem and  $\sigma_{BW}(T) = \sigma_W(T)$ .

*Proof.* Suppose that  $T$  satisfies property  $(W_E)$ , then  $\sigma(T) = \sigma_W(T) \sqcup E(T)$ . Thus  $\lambda \in E(T) \iff \lambda \in iso\sigma(T) \cap \sigma_W(T)^c \iff \lambda \in \Pi^0(T)$ , where  $\sigma_W(T)^c$  is the complement of the Weyl spectrum of  $T$ . This implies  $\Pi(T) = \Pi^0(T) = E^0(T) = E(T)$ , and  $T$  satisfies Weyl's theorem. As  $E(T) = \Pi(T)$ , from [6, Theorem 2.9], it follows that  $T$  satisfies generalized Weyl's theorem. We also have  $\sigma_{BW}(T) = \sigma(T) \setminus \Pi(T) = \sigma(T) \setminus E(T) = \sigma_W(T)$ .

Conversely, if  $T$  satisfies generalized Weyl's theorem, that is  $\sigma(T) = \sigma_{BW}(T) \sqcup E(T)$ , and  $\sigma_{BW}(T) = \sigma_W(T)$ , then  $\sigma(T) = \sigma_W(T) \sqcup E(T)$ , and  $T$  satisfies property  $(W_E)$ .  $\square$

**Remark 2.4.** From Theorem 2.3, if  $T \in L(X)$  satisfies property  $(W_E)$  then it satisfies generalized Weyl's theorem. However, the converse is not true in general as seen by the following example:

Let  $X = \ell^2(\mathbb{N})$ , let  $B = \{e_i \mid e_i = (\delta_i^j)_{j \in \mathbb{N}}, i \in \mathbb{N}\}$  be the canonical basis of  $\ell^2(\mathbb{N})$ . Let  $E$  be the subspace of  $\ell^2(\mathbb{N})$  generated by the set  $\{e_i \mid 1 \leq i \leq n\}$ . Let  $P$  be the orthogonal projection on  $E$ . Then  $\sigma(P) = \{0, 1\}$ ,  $\sigma_W(P) = \{0\}$ ,  $\sigma_{BW}(P) = \emptyset$  and  $E(P) = \{0, 1\}$ . So  $\Delta^g(P) = E(P)$ , i.e.  $P$  satisfies generalized Weyl's theorem. But  $P$  does not satisfy property  $(W_E)$ , since  $\sigma(P) \setminus \sigma_W(P) \neq E(P)$ .

**Remark 2.5.** It follows from the proof of Theorem 2.3 that if  $T \in L(X)$  satisfies property  $(W_E)$ , then  $\Pi^0(T) = E^0(T) = E(T) = \Pi(T)$ .

The equality of the Weyl spectrum and the B-Weyl spectrum establish a link between generalized Weyl's theorem and property  $(W_E)$ . In the following lemma, we give a sufficient condition for such equality, which in turn implies equivalence of property  $(W_E)$  and generalized Weyl's theorem.

**Proposition 2.6.** Let  $T \in L(X)$ . If  $iso\sigma_W(T) = \emptyset$ , then  $\sigma_W(T) = \sigma_{BW}(T)$ . In this case,  $T$  satisfies property  $(W_E)$  if and only if  $T$  satisfies generalized Weyl's theorem.

*Proof.* From Theorem 2.3, it's enough to show that if  $\text{iso } \sigma_W(T) = \emptyset$ , then  $\sigma_W(T) = \sigma_{BW}(T)$ . So if  $\lambda \in \sigma(T)$  and  $\lambda \notin \sigma_{BW}(T)$ , then  $T - \lambda I$  is a B-Weyl operator. From [5, Remark A, iii)] if  $\eta$  is small enough and  $|\eta| > 0$ , then  $T - \lambda I - \eta I$  is a Weyl operator. As  $\text{iso } \sigma_W(T) = \emptyset$ , then  $\lambda \notin \sigma_W(T)$ . Therefore  $\sigma_W(T) \subset \sigma_{BW}(T)$ . As we have always  $\sigma_{BW}(T) \subset \sigma_W(T)$ , then  $\sigma_{BW}(T) = \sigma_W(T)$ .

Then if  $\sigma_W(T) = \sigma_{BW}(T)$ , it is clear that  $T$  satisfies property  $(W_E)$  if and only if  $T$  satisfies generalized Weyl's theorem.  $\square$

In [14], Gupta and Kashyap introduced a new variant of generalized Weyl's theorem called the property  $(Bw)$ . An operator  $T \in L(X)$  satisfies property  $(Bw)$  if  $\Delta^g(T) = E^0(T)$  or equivalently  $\sigma(T) = \sigma_{BW}(T) \sqcup E^0(T)$ . In the following theorem we establish a relationship between property  $(W_E)$  and property  $(Bw)$ .

**Theorem 2.7.** *Let  $T \in L(X)$ . Then  $T$  satisfies property  $(W_E)$  if and only if  $T$  satisfies property  $(Bw)$  and  $E(T) = E^0(T)$ .*

*Proof.* Suppose that  $T$  satisfies property  $(W_E)$ . Then from Theorem 2.3,  $\sigma_{BW}(T) = \sigma_W(T)$ , and from Remark 2.5,  $E(T) = E^0(T)$ . Hence  $\Delta^g(T) = E^0(T)$  and so  $T$  satisfies also property  $(Bw)$ .

Conversely assume that  $T$  satisfies property  $(Bw)$  and  $E(T) = E^0(T)$ . As we have  $\Delta(T) \subseteq \Delta^g(T)$ , then  $\Delta(T) \subseteq E(T)$ . Now if  $\lambda \in E(T)$ , as  $E(T) = E^0(T)$ , then  $\lambda \in \Delta^g(T)$ . Hence  $T - \lambda I$  is a B-Weyl operator and  $\alpha(T - \lambda I) < \infty$ . So by [8, Lemma 2.4],  $T - \lambda I$  is a Weyl operator and  $\lambda \in \Delta(T)$ . Consequently  $\Delta(T) = E(T)$  and  $T$  satisfies property  $(W_E)$ .  $\square$

In general, we cannot expect that property  $(W_E)$  holds for an operator satisfying property  $(Bw)$  and generalized Browder's theorem, as shown by the following example.

**Example 2.8.** *Let  $Q \in L(\ell^2(\mathbb{N}))$  defined by:  $Q(x_0, x_1, \dots) = (\frac{1}{2}x_1, \frac{1}{3}x_2, \dots)$ , for  $(x_n)_n \in \ell^2(\mathbb{N})$  and let  $N \in L(\ell^2(\mathbb{N}))$  be a nilpotent operator. Let  $T = Q \oplus N$ , then  $T$  is quasi-nilpotent operator but not a nilpotent one. Thus  $\sigma(T) = \sigma_W(T) = \sigma_{BW}(T) = \{0\}$ ,  $E(T) = \{0\}$  and  $E^0(T) = \Pi(T) = \Pi^0(T) = \emptyset$ . So  $T$  satisfies generalized Browder theorem and property  $(Bw)$ , but does not satisfy property  $(W_E)$ .*

**Definition 2.9.** *An operator  $T \in L(X)$  is said to be isoloid if  $\text{iso } \sigma(T) = E(T)$ .  $T$  is of stable sign index if for all  $\lambda, \mu \in \mathbb{C}$  such that  $T - \lambda I$  and  $T - \mu I$  are B-Fredholm operators, then  $\text{index}(T - \lambda I)$  and  $\text{index}(T - \mu I)$  have the same sign.*

**Theorem 2.10.** *Let  $T \in L(X)$  be an isoloid operator of stable sign index. If  $T$  satisfies property  $(W_E)$  and if  $f$  is an analytic function in a neighborhood of the spectrum  $\sigma(T)$  of  $T$ , which is not constant on any connected component of  $\sigma(T)$ , then  $f(T)$  satisfies property  $(W_E)$ .*

*Proof.* Since  $T$  is isoloid, then from [7, Lemma 2.9], we have  $\sigma(f(T)) \setminus E(f(T)) = f(\sigma(T) \setminus E(T))$ . As  $T$  satisfies property  $(W_E)$ , then  $f(\sigma(T) \setminus E(T)) = f(\sigma_W(T))$ . As  $T$  is of stable sign index, using a similar proof as in [7, Theorem 2.4], we have  $f(\sigma_W(T)) = \sigma_W(f(T))$ . Hence  $\sigma(f(T)) \setminus E(f(T)) = \sigma_W(f(T))$ , and  $f(T)$  satisfies property  $(W_E)$ .  $\square$

**Theorem 2.11.** *Let  $T \in L(X)$  be an isoloid operator and let  $F$  be a finite rank operator commuting with  $T$ . If  $T$  satisfies property  $(W_E)$ , then  $T + F$  satisfies property  $(W_E)$ .*

*Proof.* Since  $T$  satisfies property  $(W_E)$ , it satisfies generalized Weyl's theorem. Since  $T$  is also isoloid, from [7, Theorem 3.4],  $T + F$  satisfies generalized Weyl's theorem. Moreover as  $F$  is of finite rank, we have  $\sigma_W(T) = \sigma_W(T + F)$  and from [5, Theorem 4.3] we have  $\sigma_{BW}(T) = \sigma_{BW}(T + F)$ . As  $T$  satisfies property  $(W_E)$ , from Theorem 2.3, we have  $\sigma_W(T) = \sigma_{BW}(T)$ . Hence  $\sigma_W(T + F) = \sigma_{BW}(T + F)$ . As we know already that  $T + F$  satisfies generalized Weyl's theorem, then  $T + F$  satisfies property  $(W_E)$ .  $\square$

### 3. Property $(UW_{E_a})$

**Definition 3.1.** A Bounded linear operator  $T \in L(X)$  is said to satisfy property  $(UW_{E_a})$  if  $\Delta_a(T) = E_a(T)$ , or in other words if its approximate spectrum is the disjoint union of its upper semi-Weyl spectrum and its isolated eigenvalues in its approximate spectrum, that is  $\sigma_a(T) = \sigma_{SF_+}(T) \sqcup E_a(T)$ .

**Theorem 3.2.** Let  $T \in L(X)$ . Then  $T$  satisfies property  $(UW_{E_a})$  if and only if  $T$  satisfies generalized a-Weyl's theorem and  $\sigma_{SBF_+}(T) = \sigma_{SF_+}(T)$ .

*Proof.* Suppose that  $T$  satisfies property  $(UW_{E_a})$ , then  $\sigma_a(T) = \sigma_{SF_+}(T) \sqcup E_a(T)$ . Thus  $\lambda \in E_a(T) \iff \lambda \in \text{iso}\sigma_a(T) \cap \sigma_{SF_+}(T)^c \iff \lambda \in \Pi_a^0(T)$ , where  $\sigma_{SF_+}(T)^c$  is the complement of the upper semi-Weyl spectrum. This implies  $\Pi_a(T) = \Pi_a^0(T) = E_a^0(T) = E_a(T)$ , and  $T$  satisfies a-Weyl's theorem. Moreover as  $\Pi_a(T) = E_a(T)$ , then from [6, Theorem 2.10],  $T$  satisfies generalized a-Weyl's theorem. We also have  $\sigma_{SBF_+}(T) = \sigma_a(T) \setminus \Pi_a(T) = \sigma_a(T) \setminus E_a(T) = \sigma_{SF_+}(T)$ .

Conversely, if  $T$  satisfies generalized a-Weyl's theorem, that is  $\sigma_a(T) = \sigma_{SBF_+}(T) \sqcup E_a(T)$ , and  $\sigma_{SBF_+}(T) = \sigma_{SF_+}$ , then  $\sigma_a(T) = \sigma_{SF_+} \sqcup E_a(T)$ , and so  $T$  satisfies property  $(UW_{E_a})$ .  $\square$

The following example shows that there exists operators satisfying generalized a-Weyl's theorem but not property  $(UW_{E_a})$ .

**Example 3.3.** Let  $T$  be defined on  $\ell^2(\mathbb{N})$  by

$$T(x_1, x_2, x_3, \dots) = (0, \frac{1}{2}x_1, 0, 0, \dots).$$

Then  $\sigma_a(T) = \sigma_{SF_+}(T) = \{0\}$  and  $E_a(T) = \{0\}$ . As  $T$  is nilpotent, then  $\sigma_{SBF_+}(T) = \emptyset$ . So  $\Delta_a^g(T) = E_a(T)$ , and  $T$  satisfies generalized a-Weyl's theorem, but  $T$  does not satisfy property  $(UW_{E_a})$ .

**Remark 3.4.** From Theorem 3.2, if  $T \in L(X)$  satisfies property  $(UW_{E_a})$ , then  $\Pi_a^0(T) = E_a^0(T) = \Pi_a(T) = E_a(T)$ .

**Theorem 3.5.** Suppose that  $T \in L(X)$ . If  $T$  satisfies property  $(UW_{E_a})$ , then  $T$  satisfies property  $(W_E)$ .

*Proof.* Assume that  $T$  satisfies property  $(UW_{E_a})$ . Then from Theorem 3.2,  $T$  satisfies generalized a-Weyl's theorem and  $\Pi_a^0(T) = \Pi_a(T)$ . Hence from [10, Theorem 3.7],  $T$  satisfies generalized Weyl's theorem. Let us show that  $\Pi^0(T) = \Pi(T)$ . Indeed, If  $\lambda \in \Pi(T)$ , as  $\Pi(T) \subseteq \Pi_a(T)$  and since  $\Pi_a^0(T) = \Pi_a(T)$ , then  $\lambda \in \Pi_a^0(T)$ . This implies that  $\alpha(T - \lambda I) < +\infty$ . Therefore  $\lambda \in \Pi^0(T)$ . As we know that  $\Pi^0(T) \subseteq \Pi(T)$ , then  $\Pi^0(T) = \Pi(T)$ . Consequently  $T$  satisfies generalized Weyl's theorem and  $\Pi^0(T) = \Pi(T)$ . Hence  $\sigma_{BW}(T) = \sigma(T) \setminus \Pi(T) = \sigma(T) \setminus \Pi^0(T) = \sigma_W(T)$ . From Theorem 2.3,  $T$  satisfies property  $(W_E)$ .  $\square$

The converse of Theorem 3.5 does not hold in general as shown by the following example.

**Example 3.6.** Let  $T$  be the operator given by the direct sum of the unilateral right shift  $R$  on  $\ell^2(\mathbb{N})$ , and the quasinilpotent operator  $S$  defined on  $\ell^2(\mathbb{N})$ , by  $S(x_1, x_2, x_3, \dots) = (x_2/2, x_3/3, \dots)$  for all  $x = (x_1, x_2, x_3, \dots) \in \ell^2(\mathbb{N})$ . Then  $\sigma(T) = D(0, 1)$ , where  $D(0, 1)$  is the closed unit disc in  $\mathbb{C}$  and  $\sigma_a(T) = C(0, 1) \cup \{0\}$ , where  $C(0, 1)$  the unit circle of  $\mathbb{C}$ . Furthermore  $\sigma_W(T) = D(0, 1)$ ,  $E_a(T) = \{0\}$  and  $\sigma_{SF_+}(T) = \sigma_{SBF_+}(T) = C(0, 1) \cup \{0\}$ , while  $\Pi_a(T) = \emptyset$  since  $a(T) = a(S) = \infty$ . Hence,  $T$  does not satisfies property  $(UW_{E_a})$ . But  $T$  satisfies property  $(W_E)$  because  $E(T) = \emptyset$ .

In the following theorem, we give a sufficient conditions under which the property  $(UW_{E_a})$  and  $(W_E)$  are equivalent.

**Theorem 3.7.** Let  $T \in L(X)$  be such that  $\sigma_{SF_+}(T) = \sigma_W(T)$ . Then the following statements are equivalent:

- (i)  $T$  satisfies property  $(UW_{E_a})$ ;
- (ii)  $T$  satisfies property  $(W_E)$  and  $E(T) = E_a(T)$ .

*Proof.* (i)  $\Rightarrow$  (ii) Suppose that  $T$  satisfies property  $(UW_{E_a})$ . Then from Theorem 3.5,  $T$  satisfies property  $(W_E)$ . So it is sufficient to prove that  $E(T) = E_a(T)$ . Let  $\lambda \in E_a(T)$  be arbitrary. Since  $T$  satisfies property  $(UW_{E_a})$ , then  $T - \lambda I$  is an upper semi-Fredholm with negative index. As  $\sigma_{SF_+^-}(T) = \sigma_W(T)$ , then  $T - \lambda I$  is a Weyl operator. As  $T$  satisfies property  $(W_E)$ , then  $\lambda \in E(T)$ . As we have always that  $E(T) \subseteq E_a(T)$ , then  $E(T) = E_a(T)$ .

(ii)  $\Rightarrow$  (i) Suppose that  $T$  satisfies property  $(W_E)$  and  $E(T) = E_a(T)$ . If  $\lambda \in E_a(T)$ , then  $\lambda \in E(T)$ . Since  $T$  satisfies property  $(W_E)$ , then  $\lambda \notin \sigma_W(T)$  and so  $\lambda \notin \sigma_{SF_+^-}(T)$ . We have also  $\lambda \in \sigma_a(T)$ . Indeed if  $\lambda \notin \sigma_a(T)$ , as  $T - \lambda I$  is a Weyl operator, then  $\alpha(T - \lambda I) = \beta(T - \lambda I) = 0$ . Hence  $\lambda \notin \sigma(T)$ , which is a contradiction. Therefore  $\lambda \in \Delta_a(T)$ , and  $E_a(T) \subseteq \Delta_a(T)$ . Conversely if  $\lambda \in \Delta_a(T)$ , then  $T - \lambda I$  is an upper semi-Fredholm operator such that  $ind(T - \lambda I) \leq 0$ . By our assumption  $T - \lambda I$  is a Weyl operator. As  $T$  satisfies property  $(W_E)$ , then  $\lambda \in E(T)$ . So  $\lambda \in E_a(T)$ . Finally  $E_a(T) = \Delta_a(T)$  and  $T$  satisfies property  $(W_E)$ .  $\square$

**Remark 3.8.** The condition  $\sigma_{SF_+^-}(T) = \sigma_W(T)$  is always satisfied if  $T^*$  has the SVEP. Of course in this case if  $\lambda \notin \sigma_{SF_+^-}(T)$ , then  $T - \lambda I$  is a semi-Fredholm operator with negative index. As  $T^*$  has the SVEP, then from [9, Corollary 2.7], the descent  $\delta(T - \lambda I)$  is finite. Therefore  $\lambda \notin \sigma_W(T)$ . As we have always  $\sigma_W(T) \subset \sigma_{SF_+^-}(T)$ , then  $\sigma_W(T) = \sigma_{SF_+^-}(T)$ .

**Definition 3.9.** An operator  $T \in L(X)$  is said to be finitely  $a$ -isoloid if  $iso \sigma_a(T) = E_a^0(T)$ , and is said to be finitely  $a$ -polaroid, if  $iso \sigma_a(T) = \Pi_a^0(T)$ .

**Theorem 3.10.** Let  $T \in L(X)$  be a finitely  $a$ -isoloid operator. Then  $T$  satisfies property  $(UW_{E_a})$  if and only if  $T$  satisfies  $a$ -Weyl's theorem.

*Proof.* suppose that  $T$  satisfies property  $(UW_{E_a})$ , then from Theorem 3.2 and [10, Theorem 3.11],  $T$  satisfies  $a$ -Weyl's theorem. Conversely, if  $T$  satisfies  $a$ -Weyl's theorem, then  $\sigma_a(T) \setminus \sigma_{SF_+^-}(T) = E_a^0(T)$ . Now let  $\lambda \in E_a(T)$  be arbitrary given, then  $\lambda \in iso \sigma_a(T)$ . Since  $T$  is finitely  $a$ -isoloid, it implies that  $\lambda \in E_a^0(T)$  and  $E_a(T) \subseteq E_a^0(T)$ . As we have always  $E_a^0(T) \subseteq E_a(T)$ , then  $E_a(T) = E_a^0(T)$ . Consequently  $\sigma_a(T) \setminus \sigma_{SF_+^-}(T) = E_a(T)$  and  $T$  possesses property  $(UW_{E_a})$ .  $\square$

**Lemma 3.11.** Let  $T \in L(X)$  be a finitely  $a$ -polaroid operator and  $f$  an analytic function in a neighborhood of the spectrum  $\sigma(T)$  of  $T$ , which is not constant on any connected component of  $\sigma(T)$ , then  $E_a(f(T)) = \Pi_a^0(f(T))$ .

*Proof.* Since  $T$  is a finitely  $a$ -polaroid, then  $T$  is  $a$ -polaroid and  $E_a(T) = \Pi_a^0(T)$ . From [6, Theorem 3.5], we have  $\sigma_a(f(T)) \setminus E_a(f(T)) = f(\sigma_a(T) \setminus E_a(T)) = f(\sigma_a(T) \setminus \Pi_a^0(T)) = f(\sigma_{ub}(T))$ . As  $\sigma_{ub}(T)$  satisfies the spectral mapping theorem, see [3, Corollary 3.9], then  $f(\sigma_{ub}(T)) = \sigma_{ub}(f(T))$ . Hence  $\sigma_a(f(T)) \setminus E_a(f(T)) = \sigma_{ub}(f(T)) = \sigma_a(f(T)) \setminus \Pi_a^0(f(T))$ . Therefore  $E_a(f(T)) = \Pi_a^0(f(T))$ .  $\square$

**Theorem 3.12.** Let  $T \in L(X)$  be a finitely  $a$ -polaroid operator and  $f$  an analytic function in a neighborhood of the spectrum  $\sigma(T)$  of  $T$ , which is not constant on any connected component of  $\sigma(T)$ . Then  $f(T)$  satisfies property  $(UW_{E_a})$  if and only if  $f(T)$  satisfies  $a$ -Weyl's theorem.

*Proof.* The direct sense is obvious. Now if  $f(T)$  satisfies  $a$ -Weyl's theorem, then  $f(T)$  satisfies  $a$ -Browder's theorem. Since  $T$  is finitely  $a$ -polaroid operator, then from Lemma 3.11 we have  $E_a(f(T)) = \Pi_a^0(f(T))$ . Since a finitely  $a$ -polaroid is finitely  $a$ -isoloid, then from Theorem 3.10,  $f(T)$  satisfies property  $(UW_{E_a})$ .  $\square$

**Theorem 3.13.** Let  $H$  be a Hilbert space and let  $T \in L(H)$  be a finitely  $a$ -polaroid operator. If  $F$  is a finite rank operator commuting with  $T$ , then  $T$  satisfies property  $(UW_{E_a})$  if and only if  $T + F$  satisfies property  $(UW_{E_a})$ .

*Proof.* Since  $T$  is finitely  $a$ -polaroid operator, then from [6, Lemma 3.9],  $T + F$  is an  $a$ -polaroid operator. Assume that  $T$  satisfies property  $(UW_{E_a})$ . From Theorem 3.10, it follows that  $T$  satisfies  $a$ -Weyl's theorem. Now from [6, Corollary 3.10],  $T + F$  satisfies  $a$ -Weyl's theorem. We know from [12, Theorem 3.2] that  $acc \sigma_a(T) = acc \sigma_a(T + F)$ . Let  $\lambda \in E_a(T + F)$ , then  $\lambda \notin acc \sigma_a(T)$ . Since  $T$  is finitely  $a$ -polaroid, it follows that  $T - \lambda$  is invertible or  $\lambda \in \Pi_a^0(T)$ . In the two cases we have  $\lambda \in \Pi_a^0(T + F)$ . Thus  $T + F$  is finitely  $a$ -polaroid. As  $T + F$  satisfies  $a$ -Weyl's theorem, again from Theorem 3.10,  $T + F$  satisfies property  $(UW_{E_a})$ . For the converse, observe that  $T = T + F - F$ . Moreover if  $T + F$  satisfies property  $(UW_{E_a})$ , then  $T + F$  is a finitely  $a$ -polaroid operator and  $F$  commutes with  $T + F$ .  $\square$

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